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PATENT
Attorney Docket No.: SAM-0449
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Young-Gun Ko, *et al.*
Serial No.: 10/697,826
Filing Date: October 30, 2003
Title: MOS TRANSISTOR WITH ELEVATED SOURCE AND DRAIN
STRUCTURES AND METHOD OF FABRICATION THEREOF

Examiner: Sara W. Crane
Group Art Unit: 2811

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1-6-05

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AF, AQ, BL and BM, ; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: January 6, 2005
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 C.F.R. 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 C.F.R. 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 C.F.R. 1.97(c) together with either:
- ☐ a Statement under 37 C.F.R. 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 C.F.R. 1.97(d) together with:
- ☐ a Statement under 37 C.F.R. 1.97(e), as checked below, and
- ☐ the \$180.00 petition fee set forth in 37 C.F.R. 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Young-Gun Ko, *et al.*
Serial No.: 10/697,826

Statement Under 37 C.F.R. 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 C.F.R. 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 C.F.R. 1.98(a)(3)(i) for references AQ, BL and BM is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☒ submission of the enclosed related U.S. Patent Publication No. 2002/0045317 (Reference BM).
- ☒ abstract (References AQ, BL and BM).

It is requested the information disclosed herein be made of record in this application.

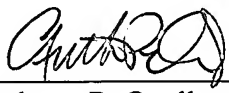
Applicant(s): Young-Gun Ko, *et al.*
Serial No.: 10/697,826

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: January 6, 2005
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants

Subst. Form PTO-1449

Docket Number (Optional)

SAM-0449

Application Number

10/697,826

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

Applicant

Young-gun Ko, *et al.*

Filing Date

10/30/2003

Group Art Unit

2811

(Use several sheets if necessary)

U. S. Patent Documents .

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF	2002/0045317	04/18/02	Oishi, <i>et al.</i>	438	300	09/19/01
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
AL							
AM							
AN							
AO							
AP							
AQ	980033205	07/25/96	Korea	H01L	021/336		X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	
AS	
AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

Subst. Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) SAM-0449		Application Number 10/697,826	
				Applicant Young-gun Ko, et al.			
				Filing Date 10/30/2003		Group Art Unit 2811	
U. S. Patent Documents							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
	BL	1020020003625	01/15/02	Korea	H01L	21/336	X
	BM	2002-124665	04/28/02	Japan	H01L	29/78	X
	BN						
	BO						
	BP						
	BQ						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BR						
	BS						
	BT						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.							